

Portfolio Management and Trading



As the wealth management industry continues to grow in complexity, there has never been a stronger demand for streamlined and efficient technology solutions on a single, fully integrated platform. For more than 30 years, Fiserv has led the Industry with its best-in-class portfolio management and trading capabilities.

Portfolio Management and Trading from Fiserv is a solution available on Unified Wealth Platform from Fiserv. It allows financial institutions to scale their business and manage multiple functions of wealth management in a single location. More than 350 wealth management firms currently use United Wealth Platform for their portfolio management and trading capabilities, leveraging the platform's extensive benefits to meet the industry's evolving demands. Our solutions support the entire portfolio management process – from portfolio construction and compliance review to order generation and trade execution.

The Industry: Current State

Financial institutions seeking to remain competitive and grow revenue desire cost-effective technologies that drive productivity and automate workflow.

This added efficiency increases capacity, allowing firms to focus on value-added services, such as improving their product offerings and enhancing the quality of service provided to investors.

Connectivity, scalability and functionality are core components of Unified Wealth Platform. Designed to handle complex, high-volume transactions inherent in the managed accounts industry, financial institutions can boost efficiency by managing multiple products on a single platform.

Covering All of Your Investment Management Needs

Portfolio Management and Trading is a comprehensive solution that reduces manual and redundant processes. This efficiency increases the integrity of your clients' data, safekeeping records and reporting.

Portfolio Management

Portfolio Management and Trading is second to none in the industry, and include the ability to:

- View and analyze accounts to determine when trading is required based on a variety of factors, including violations or model drift
- Maintain and create investment models
- Manage intra-day cash transactions, that is, deposits and withdrawal requests
- Analyze and view asset allocations, violations, and drift



Fiserv is driving innovation in Payments, Processing Services, Risk & Compliance, Customer & Channel Management and Insights & Optimization. Our solutions help clients deliver financial services at the speed of life to enhance the way people live and work today. Visit fiserv.com and fiserv.com/speed to learn more.

Trading

Trading capabilities provide financial institutions with the tools needed for active portfolio management and trading across multiple programs. These capabilities include account and security rebalancing, allowing portfolio managers to bring accounts and security weights back in line with model or investment targets.

Other capabilities include:

- Single- and multi-account trading
- Overnight rebalancing
- Tax-aware trading
- Overlay trading
- Sleeve-level trading
- Cash management trading
- Order submission and execution

Unified Wealth Platform gives your firm the power to master complex multiple functions and leverage your expertise to meet the needs of your investors. Portfolio Management and Trading is both nimble and

powerful, providing your firm both the ability to easily handle day-to-day operations. And, it's powerful enough to perform complex rebalances or reallocations on tens or hundreds of thousands of accounts.

Key Benefits

- Streamlined and efficient technology on a single, fully integrated platform
- Scalable to manage multiple functions
- Designed to handle complex, high-volume transactions
- Supports trading across multiple platforms

Connect With Us

For more information on how Portfolio Management & Trading call 800-872-7882, email getsolutions@fiserv.com or visit www.fiserv.com.



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