

Watch List Filtering in AML Risk Manager

Comprehensive Watch List Filtering and Sanctions Screening Tools for Life Insurance Companies

Effective sanctions and politically exposed person (PEP) screening technology is essential to ensuring compliance with anti-money laundering (AML) and counter-adversary regulations. AML Risk Manager from Fiserv provides award-winning list matching capabilities to help meet your AML compliance, scalability and operational requirements.



With increasingly complex watch list and sanctions screening regulations being enforced across the globe, list matching technologies must be able to adapt and scale to meet the challenges that compliance departments face on a daily basis. Whether applied to new business onboarding, customer due diligence, transaction monitoring or Know Your Customer reviews, list matching is an integral part of your AML regulatory compliance and client life cycle management processes.

Superior Watch List Filtering Capabilities

The watch list filtering capabilities of AML Risk Manager provide innovative matching analytics and robust alert and case management features to help you reduce operational costs, mitigate compliance risks and achieve long-term regulatory, operational and IT objectives.

Watch list filtering screens customer and vendor data against sanctions and PEP lists, as well as custom lists and lists provided by third-party data service providers. Customer risk scoring is also included. Watch list filtering can be applied to every process in an AML program, from customer due diligence and enhanced

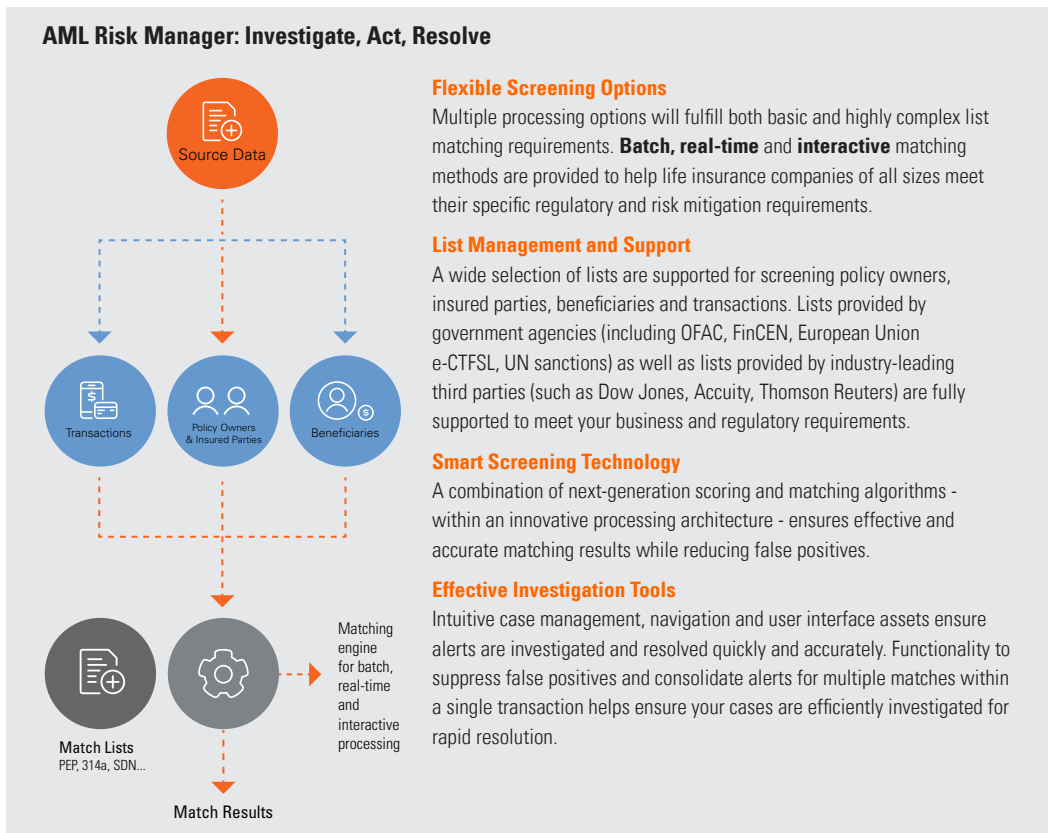
due diligence through periodic customer reviews and screening for wires and payments.

More importantly, it supports regulatory compliance with organizations like the Office of Foreign Assets Control, Office of Superintendent of Financial Institutions and United Nations.

Watch list filtering in AML Risk Manager offers high-volume processing and complex matching configuration options for companies of all sizes that require flexibility, scalability and fast response times. The modern matching engine provides faster processing speeds and performance to enable the scanning of very large amounts of source data against very large watch lists, while enhanced matching technology provides match variation options for date, address and name-related information.

With its next-generation list matching technology, flexible configuration and advanced matching algorithms, AML Risk Manager is equipped to address your operational and regulatory compliance challenges today and into the future.

Fiserv is driving innovation in Payments, Processing Services, Risk & Compliance, Customer & Channel Management and Insights & Optimization. Our solutions help clients deliver financial services at the speed of life to enhance the way people live and work today. Visit fiserv.com to learn more.



About AML Risk Manager

AML Risk Manager is an industry-leading anti-money laundering solution that is comprehensive, efficient and adaptive to uncover even the most sophisticated crime schemes. Highly regarded by industry analysts for its superior functionality, AML Risk Manager recently received 2018 IDC Leader Awards for Worldwide AML Solutions and Worldwide KYC Solutions and Celent awards for XCelent Service 2018, Transaction Monitoring and XCelent Service 2018, Watch List Filtering.

Connect With Us

For more information about AML Risk Manager, call 800-872-7882 or +44 (0) 845-013-1000 outside North America, email getsolutions@fiserv.com or visit www.fiserv.com.



Fiserv, Inc.
255 Fiserv Drive
Brookfield, WI 53045

800-872-7882
262-879-5322
getsolutions@fiserv.com
www.fiserv.com