

Managed Accounts Available on Unified Wealth Platform

Deliver precision, efficiency and flexibility across multiple managed-account programs from a single integrated platform

Interest in managed accounts continues to grow, amplified by investor demand for portfolio diversification and financial institutions' desire to grow fee-based revenue. Fiserv has created a single platform for all managed account solutions, giving firms the ability to support a range of investor profiles and the growing number of asset types now required for optimal portfolio diversification and goals-based investing.



Designed to handle complex, high-volume transactions inherent in the managed accounts industry, financial institutions like yours can boost efficiency by managing multiple products in a single ASP location. More than 350 wealth management firms currently use Unified Wealth Platform from Fiserv, leveraging the unmatched connectivity of the Fiserv network to process and maintain more than 2,500 interface files to major banks, broker-dealers, various back-office systems and third-party vendors. On a daily basis, our systems handle over four million portfolios, hundreds of new account openings and millions of trades.

A Full Range of Integrated Capabilities

Unified Wealth Platform provides your institution with a comprehensive and integrated solution for managed-account portfolio construction, management, trading, accounting, reconciliation, reporting and model management—all on a single platform. The solution facilitates seamless transition from single- to multi-sleeve programs, simplifying the trading and rebalancing process. Its unique sleeve-level structure with broad asset and product support combines precision with flexibility across multiple managed account programs, assets and products.

A product-neutral platform, Managed Accounts from Fiserv simplifies the delivery of all fee-based advisory programs, including: Unified Managed Accounts (UMAs), Separately Managed Accounts (SMAs), Mutual Fund Advisory (MFA), ETF Advisory (ETF), Rep as Portfolio Manager (RPM) and Rep as Advisor (RPA). The platform also supports all core security types: Traditional equities, fixed income, Mutual funds, Exchange-traded funds, options, hedge funds, and annuities.

Portfolio Management and Trading

Unified Wealth Platform provides decision support tools for developing investment strategies and creating trade scenarios based on the current trading environment or established parameters. This integrated suite of products supports the entire investment management process—from account screening and portfolio validation to order generation and trade execution. Capabilities include:

- Single- and multi-account trading
- Overnight rebalancing
- Tax-aware trading
- Overlay trading
- Sleeve-level trading and reporting
- Trade order management
- Risk analysis, including creation of “what if” scenarios

Fiserv is driving innovation in Payments, Processing Services, Risk & Compliance, Customer & Channel Management and Insights & Optimization. Our solutions help clients deliver financial services at the speed of life to enhance the way people live and work today. Visit fiserv.com and fiserv.com/speed to learn more.

Model Management

Model Management from Fiserv enables sponsors and managers to create, edit and publish any number of model portfolio strategies within a central repository with audit and tracking. Model Management has a highly intuitive, graphical user interface. Roles-based entitlement grants financial institutions the flexibility to allocate appropriate permissions for model review, publish and audit for model delivery programs. Tightly integrated with portfolio management and trading tools, Model Management supports the third-party management of investment models, facilitates communication of model information from managers to sponsors and provides model data reporting to program participants.

Performance and Portfolio Reporting

Performance and Portfolio Reporting from Fiserv offers a spectrum of management information and operational reporting to support financial institutions. Our flexible library of over 400 reports and As of Views includes management, regulatory, householding, performance, pricing, reconciliation, holdings, transaction and gain/loss. Built-in report writing functionality is customizable and offers the option of branded client statements for alignment with any financial institution's unique brand requirements.

Performance and Portfolio Reporting provides you the tools to meet the CFA Institute's GIPS® standard requiring all portfolios to be included in at least one composite and whose return is the asset-weighted average of the performance of all portfolios in the composite. It is able to provide advanced performance calculations including risk, GIPS statistics and money-weighted and time-weighted performance, utilizing the Modified Dietz method and daily exact valuation formulas.

Billing Services

Billing Services from Fiserv enables clients to invoice on assets. You can use it to bill in advance or arrears, and setup various billing schedules based on security types, account tiers and calculation types. The system allows for household billing, discounts and other standard adjustment processes.

Wealth Management Network

Wealth Management Network from Fiserv is the premier network for the wealth management community. It facilitates real-time connectivity between all market participants: asset managers, broker-dealers, banks, aggregators and market utilities, as well as advisors, investors and third-party partners. The network allows for efficient execution of trading, accounting, and portfolio/model management. Further, it provides access to data, analytics and reporting by connecting all participants, centrally, to make the management of money easy for financial professionals and accessible to investors.

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"In our view, the Fiserv managed account platform will continue to attract the interest of firms that have a long history in the managed account industry, as well those firms that are looking to add or increase their focus on this evolving product area."

CEB TowerGroup

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Connect With Us

For more information about Unified Wealth Platform, call 800-872-7882, email getsolutions@fiserv.com or visit www.fiserv.com.



Fiserv, Inc.
255 Fiserv Drive
Brookfield, WI 53045

800-872-7882
262-879-5322
getsolutions@fiserv.com
www.fiserv.com