

Model Management Technology

Drive Growth and Efficiency With a Comprehensive Model Delivery Platform

As model portfolio programs continue to grow in popularity, asset managers need to efficiently distribute their strategies to multiple sponsors, including wirehouses and national, regional and independent broker-dealers. Creating greater efficiencies in the management, communication and distribution of model portfolios will drive additional growth in the managed accounts market for both managers and sponsors.



Unified Wealth Platform from Fiserv provides advanced technology that automates and supports the management of investment models, facilitates communication of model information from managers to sponsors and provides model data reporting. Unified Wealth Platform contains three key components for an optimal solution: Model Management, Model Information ExchangeSM and Model Reporting.

Using Model Information Exchange with Model Management not only allows managers to communicate the same model to multiple sponsors, but enables them to send customized, sponsor-specific comments and security notes. Model Reporting provides managers with current data on model use and adoption.

Model Management

Model Management from Fiserv helps our clients achieve optimal efficiency and growth. Model Management is a single, secure and scalable repository for all models. It is integrated with various programs including trading solutions within Unified Wealth Platform.

Model Management is a workflow-enabled, roles-based technology that allows asset and overlay managers to review models and

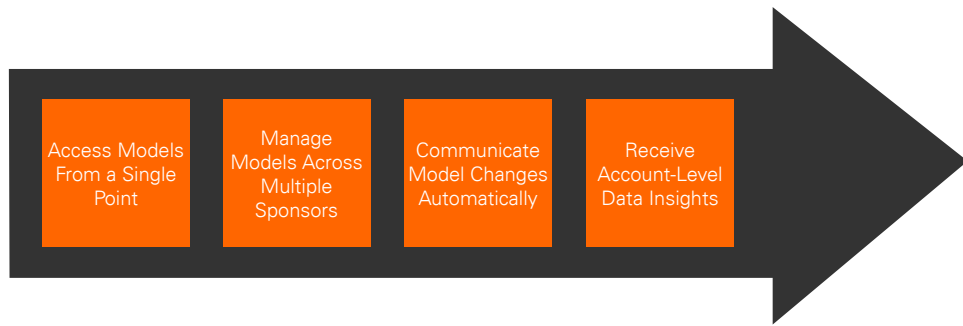
make edits prior to publishing to the trading system. It is fully integrated with Managed Accounts from Fiserv including Mutual Fund Advisory, Rep as Portfolio Manager, Separately Managed Accounts and Unified Managed Accounts to enable trading functionalities of Unified Wealth Platform. An updated Model Management interface makes model management easier and less time consuming for managers.

Model Information Exchange

Model Information Exchange from Fiserv is a communication network for all managers and sponsors who leverage Model Management that further streamlines the process by providing managers with the ability to communicate model updates to multiple sponsors using Wealth Management Network from Fiserv.

Model Information Exchange automates everything a manager performs on various sponsor environments, giving managers access to multiple sponsors on a single platform. Model Management serves as a proprietary source-modeling system from which the manager selects the sponsor and version of model. Managers access a dashboard within Model Management to monitor the status of all model communications.

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Efficiency Drives Growth

With Model Information Exchange, models are updated once and changes are communicated to multiple sponsor destinations, thus minimizing the occurrence of trading errors that often result from incorrect editing of the same model on multiple systems.

Model Reporting

Model Reporting from Fiserv provides investment managers with data regarding the use and adoption of their models-only portfolios at participating sponsor firms. Reports include assets under management, weekly and monthly asset flows and information about the financial advisors using the models (if provided by the sponsor firm).

Easy as 1-2-3: Model Management, Model Information Exchange and Model Reporting

Model Management allows managers to communicate model updates to all sponsors on Unified Wealth Platform. Model Reporting provides critical data to managers including account growth, market value, asset flows and holdings for their models-only programs.

Armed with this model portfolio technology, integrated on one platform with single sign-on, your firm can eliminate most inefficiencies within the model management segment. Fiserv can be a critical business partner in providing the technology your firm needs to drive growth.

Key Benefits:

- Greater efficiencies in management and distribution of model portfolios
- Workflow-enabled, roles-based technology
- Simplified and expedited model management with intuitive user interface
- Proprietary source modeling system

Connect With Us

For more information about model management technology or Unified Wealth Platform from Fiserv, call us at 800-872-7882, email getsolutions@fiserv.com or visit www.fiserv.com.



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