

Unified Wealth Platform

Advisor Portfolio Management and Trading

Unified Wealth Platform Provides the Oversight Tools and Guardrails Necessary for Rep as Portfolio Manager and Rep as Advisor Programs

Rep as portfolio manager (RPM) and Rep as advisor (RPA) programs continue to experience strong growth as the managed accounts industry moves toward a fiduciary fee-based service model. Better portfolio management technology along with home-office and third party research is essential to advisor success. And access to performance data against industry benchmarks and peers is essential for firms to continue to monitor portfolio performance.



Unified Wealth Platform from Fiserv allows advisors to spend less time working in applications and more time advising clients to build relationships and revenue. Unified Wealth Platform comprises a full range of integrated capabilities to support multiple managed account solutions, across front, middle and back offices, providing a streamlined experience for efficient workflows. Designed with the advisor in mind, a highly intuitive interface facilitates core portfolio management, trading and reporting functionalities within Unified Wealth Platform. The result is a streamlined workflow that gives firms and their advisors the option to customize the interface while meeting the technology needs of large and small financial institutions.

A Single Advisor-Facing Platform

Unified Wealth Platform serves as the single platform for financial advisors to manage both full-discretion and nondiscretionary accounts. The platform enables greater efficiency through enhanced portfolio management and trading tools, ease of customization, configurability and graphical displays for advisors, along with targeted workflows from a single dashboard.

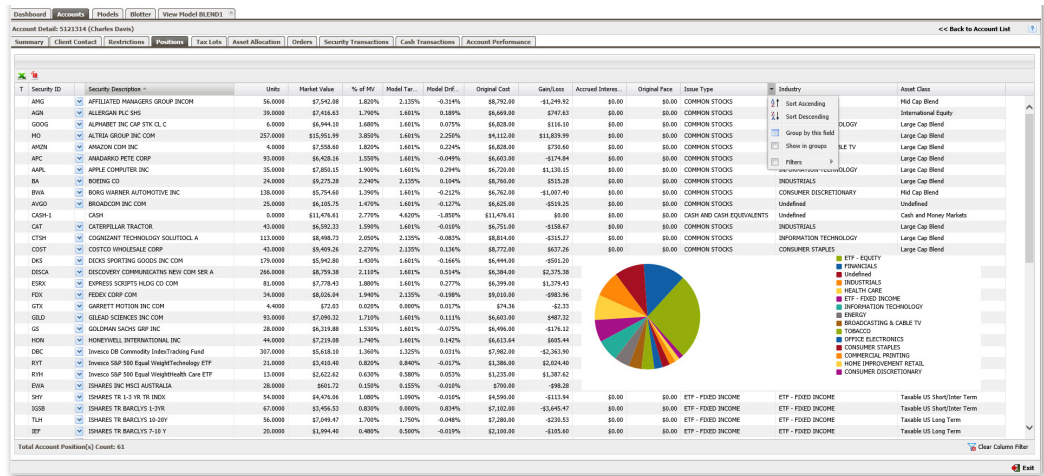
Technology for the Advisor and the Home Office

Unified Wealth Platform provides the oversight tools and guardrails to successfully manage programs directed by the home office as well as those driven by financial advisors and third-party managers.

Intuitive Navigation

Unified Wealth Platform requires limited training, so seasoned advisors and new users alike can easily adopt it. Navigating within the interface is simple, and screens and reports are configurable by the user. The interface incorporates key functionality with business logic and reliability from proven Fiserv technology in an easy-to-use front end, with streamlined workflows enabling greater advisor productivity – and allowing more time for relationship and revenue building.

Fiserv is driving innovation in Payments, Processing Services, Risk & Compliance, Customer & Channel Management and Insights & Optimization. Our solutions help clients deliver financial services at the speed of life to enhance the way people live and work today. Visit fiserv.com to learn more.



United Wealth Platform dashboard

Advisor portfolio management and trading capabilities include:

- Leverage integrated planning, proposal management, modeling and trading capabilities on one platform
- Easily identify and handle high priority account alerts using targeted workflows with minimal clicks
- Trade multiple or individual positions through a comprehensive set of order generation and tools
- Tailor the user experience and branding with firm logos and colors, while displaying client data in a graphic-enriched format
- Facilitate block trading for RPM program growth of any magnitude

Key Benefits to the Broker-Dealer/ Financial Advisor:

- A single advisor-facing platform that supports both RPM full discretion and client-directed RPA managed account programs
- Intuitive dashboards, trading and rebalancing tools for advisors to work most efficiently
- Tax-harvesting tools and new dashboards with single-click access to account details and trading functions
- Options trading functionality (premium feature)
- Single sign-on is available to eliminate the need for advisors to maintain separate IDs and passwords to your firm's applications



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