#### **Fact Sheet**

# fiserv.

#### Unified Wealth Platform Advisor Portfolio Management and Trading

Unified Wealth Platform Provides the Oversight Tools and Guardrails Necessary for Rep as Portfolio Manager and Rep as Advisor Programs

Rep as portfolio manager (RPM) and Rep as advisor (RPA) programs continue to experience strong growth as the managed accounts industry moves toward a fiduciary fee-based service model. Better portfiolo management technology along with home-office and third party research is essential to advisor success. And access to performance data against industry benchmarks and peers is essential for firms to continue to monitor portfolio performance.

Unified Wealth Platform from Fiserv allows advisors to spend less time working in applications and more time advising clients to build relationships and revenue. Unified Wealth Platform comprises a full range of integrated capabilities to support multiple managed account solutions, across front, middle and back offices, providing a streamlined experience for efficient workflows. Designed with the advisor in mind, a highly intuitive interface facilitates core portfolio management, trading and reporting functionalities within Unified Wealth Platform. The result is a streamlined workflow that gives firms and their advisors the option to customize the interface while meeting the technology needs of large and small financial institutions.

#### A Single Advisor-Facing Platform

Unified Wealth Platform serves as the single platform for financial advisors to manage both full-discretion and nondiscretionary accounts. The platform enables greater efficiency through enhanced portfolio management and trading tools, ease of customization, configurability and graphical displays for advisors, along with targeted workflows from a single dashboard.

## Technology for the Advisor and the Home Office

Unified Wealth Platform provides the oversight tools and guardrails to successfully manage programs directed by the home office as well as those driven by financial advisors and third-party managers.

#### **Intuitive Navigation**

Unified Wealth Platform requires limited training, so seasoned advisors and new users alike can easily adopt it. Navigating within the interface is simple, and screens and reports are configurable by the user. The interface incorporates key functionality with business logic and reliability from proven Fiserv technology in an easy-to-use front end, with streamlined workflows enabling greater advisor productivity – and allowing more time for relationship and revenue building. Fiserv is driving innovation in Payments, Processing Services, Risk & Compliance, Customer & Channel Management and Insights & Optimization. Our solutions help clients deliver financial services at the speed of life to enhance the way people live and work today. Visit fiserv.com to learn more.

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Client Cor	ntact Restrictions Positions Tax Lots a	Asset Allocation	Orders Securit					_							nt List
ty ID			Section 1	ty Transactio	ns Cash Tr	ansactions	Account Performance	De							
ity ID															
ity ID															
	Security Description ^	Units	Market Value	% of MV	Model Tar	Model Drif	Original Cost	Gain/Loss	Accrued Interes	Original Pace		Industry		Asset Class	
	AFFILIATED MANAGERS GROUP INCOM	56.0000	\$7,542.08	1.820%	2.135%	-0.314%	\$8,792.00	-\$1,249.92	\$0.00			Sort Ascending		Hid Cap Blend	
	ALLERGAN PLC SHS	39.0000	\$7,416.63	1.790%	1.601%	0.189%	\$6,669.00	\$747.63	\$0.00			Sort Descending		International Equity	
	ALPHABET INC CAP STK CL C	6.0000	\$6,944.10	1.600%	1.601%	0.075%	\$6,828.00	\$116.10	\$0.00		CONMON STOCKS	Group by this field	DLOGY	Large Cap Blend	
	ALTRIA GROUP INC COM	257.0000	\$15,951.99	3.850%	1.601%	2.250%	\$4,112.00	\$11,839.99	\$0.00					Large Cap Blend	
· ·		4.0000	\$7,558.60	1.820%	1.601%	0.224%	\$6,828.00	\$730.60	\$0.00			Show in groups	SLE TV	Large Cap Blend	
~	AMADARKO PETE CORP	93.0000	\$6,423.16	1.550%	1.601%	-0.049%	\$6,603.00	-\$174.84	\$0.00	\$0.00	COMMON STOCKS	Tites +		Large Cap Blend	
~	APPLE COMPUTER INC	35.0000	\$7,850.15	1.900%	1.601%	0.294%	\$6,720.00	\$1,130.15	\$0.00	\$0.00	COMMON STOCKS	IN ORPHISON TECH	OLOGY	Large Cap Blend	
~	BOEING CO	24.0000	\$9,275.28	2.240%	2.135%	0.104%	\$8,760.00	\$515.28	\$0.00	\$0.00	COMMON STOCKS	INDUSTRIALS		Large Cap Blend	
×	BORG WARNER AUTOMOTIVE INC	138.0000	\$5,754.60	1.390%	1.601%	-0.212%	\$6,762.00	-\$1,007.40	\$0.00	\$0.00	COMMON STOCKS	CONSUMER DESCRETE	IONARY	Mid Cap Blend	
· ·	BROADCOM INC COM	25.0000	\$6,105.75	1.470%	1.601%	-0.127%	\$6,625.00	-\$519.25	\$0.00	\$0.00	COMMON STOCKS	Undefined		Undefined	
-1	CASH	0.0000	\$11,476.61	2.770%	4.620%	-1.850%	\$11,476.61	\$9.90	\$0.00	\$0.00	CASH AND CASH EQUIVALENTS	Undefined		Cash and Noney Markets	
~	CATERPILLAR TRACTOR	43.0000	\$6,592.33	1.590%	1.601%	-0.010%	\$6,751.00	-\$158.67	\$0.00	\$0.00	COMMON STOCKS	INDUSTRIALS		Large Cap Blend	
~	COGNIZANT TECHNOLOGY SOLUTIOCL A	113.0000	\$8,498.73	2.050%	2.135%	-0.083%	\$8,814.00	-\$315.27	\$0.00	\$0.00	COMMON STOCKS	INFORMATION TECHN	IOLOGY	Large Cap Blend	
v	COSTCO WHOLESALE CORP	43.0000	\$9,409.26	2.270%	2.135%	0.136%	\$8,772.00	\$637.26	\$0.00	\$0.00	COMMON STOCKS	CONSUMER STAPLES		Large Cap Blend	
Y	DECKS SPORTING GOODS INC COM	179.0000	\$5,942.80	1.430%	1.601%	-0.166%	\$6,444.00	-\$501.20					ETF - EQUETY		
1 V	DESCOVERY COMMUNECATINS NEW COM SER A	266.0000	\$8,759.38	2.110%	1.601%	0.514%	\$6,384.00	\$2,375.38					FINANCIALS Undefined		
v	EXPRESS SCRIPTS HLDG CO COM	81.0000	\$7,778.43	1.891%	1.601%	0.277%	\$6,399.00	\$1,379.43					INDUSTRIALS		
V	FEDEX CORP COM	34,0000	\$8,026.04	1.940%	2.135%	-0.198%	\$9,010.00	-\$983.96					HEALTH CARE ETF - FD/ED INO	0105	
~	GARRETT MOTION INC COM	4.4000	\$72.03	0.020%	0.000%	0.017%	\$74.36	-\$2.33					INFORMATION T		
v	GILEAD SCIENCES INC COM	93,0000	\$7,090.32	1.710%	1.601%	0.111%	\$6,603.00	\$487.32					ENERGY		
v	GOLDMAN SACHS GRP INC	28,0000	\$6,319.88	1.530%	1.601%	-0.075%	\$6,495.00	-\$176.12					BROADCASTING TOBACCD	& CABLE TV	
~	HONEYWELL INTERNATIONAL INC	44.0000	\$7,219.08	1.740%	1.401%	0.142%	\$6.613.64	\$605.44					OFFICE ELECTRO	DNECS	
~	Invesco DB Commodity IndexTracking Fund	307,0000	\$5.618.10	1.360%	1.325%	0.031%	\$7,982,00	-\$2,363.90					CONSUMER STAR		
	Invesco S&P 500 Equal WeightTechnology ETF	21.0000	\$3,410.40	0.820%	0.840%	-0.017%	\$1,385.00	\$2,024.40					HOME IMPROVE		
	Invesco S&P 500 Equal WeightHealth Care ETF	13.0009	\$2,622,62	0.630%	0.580%	0.053%	\$1,235,99	\$1,387.62					CONSUMER DISC		
	ISHARES INC HSCI AUSTRALIA	28,0000	\$601.72	0.150%	0.155%	-0.010%	\$700.00	-\$98.28							
	ISHARES TR 1-3 YR TR INDX	54.0000	\$4,476.06	1.080%	1.090%	-0.010%	\$4,590.00	-\$113.94	\$0.00	\$0.00	ETF - FIXED INCOME	ETF - FIXED INCOME		Taxable US Short/Inter Term	
v	ISHARES TR BAROLYS 1-3YR	67.0000	\$3,456,53	0.830%	0.000%	0.834%	\$7,102,00	-\$3.645.47	\$0.00		ETF - FIXED INCOME	ETF - FIXED INCOME		Taxable US Short/Inter Term	
v		56,0000	\$7.049.47	1,700%	1,750%	-0.048%	\$7,280.00	-\$230.53	\$0.00		ETF - FIXED INCOME	ETF - FIXED INCOME		Taxable US Long Term	
~	ISHARES TR BARCLYS 7-10 Y	20.0000	\$1,994.40	0.490%	0.500%	-0.019%	\$2,109.00	-\$105.60	\$0.00	\$0.00	ETF - FIXED INCOME	ETF - FIXED INCOME		Taxable US Long Term	
ount Position	n(s) Count: 61													Gear (	Column Fill

United Wealth Platform dashboard

## Advisor portfolio management and trading capabilities include:

- Leverage integrated planning, proposal management, modeling and trading capabilities on one platform
- Easily identify and handle high priority account alerts using targeted workflows with minimal clicks
- Trade multiple or individual positions through a comprehensive set of order generation and tools
- Tailor the user experience and branding with firm logos and colors, while displaying client data in a graphic-enriched format
- Facilitate block trading for RPM program growth of any magnitude

#### Key Benefits to the Broker-Dealer/ Financial Advisor:

- A single advisor-facing platform that supports both RPM full discretion and client-directed RPA managed account programs
- Intuitive dashboards, trading and rebalancing tools for advisors to work most efficiently
- Tax-harvesting tools and new dashboards with single-click access to account details and trading functions
- Options trading functionality (premium feature)
- Single sign-on is available to eliminate the need for advisors to maintain separate IDs and passwords to your firm's applications

#### **Connect With Us**

For more information about Unified Wealth Platform, call 800-872-7882, email getsolutions@fiserv.com or visit www.fiserv.com.

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